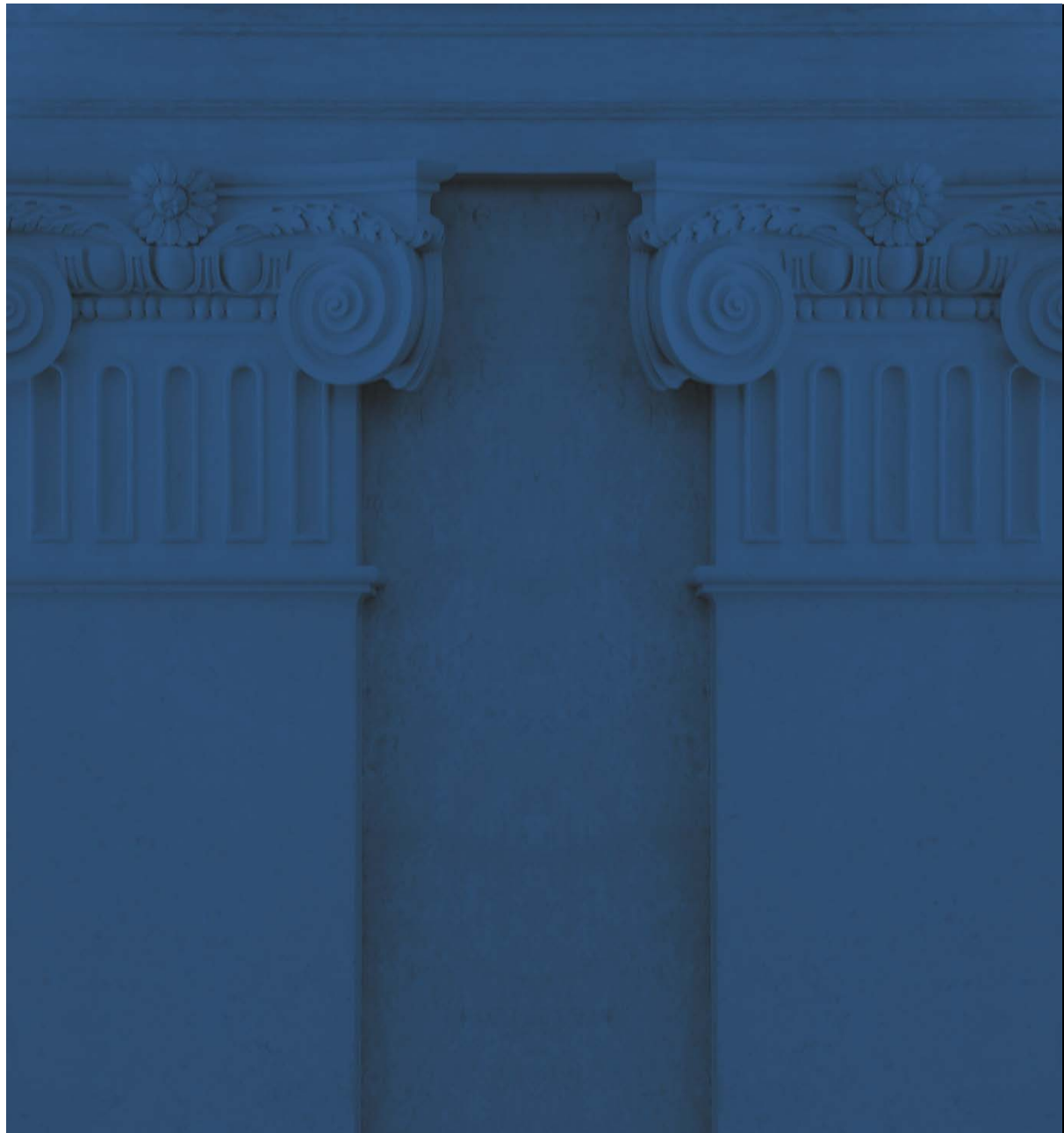




# ECMS EUROSISTEM COLLATERAL MANAGEMENT SYSTEM

## SESSÃO DE FORMAÇÃO

24 OUTUBRO 2024 | LISBOA





# AGENDA

- |    |                                                                                                           |    |                                                                                                                |
|----|-----------------------------------------------------------------------------------------------------------|----|----------------------------------------------------------------------------------------------------------------|
| 01 | <b>TESTES FUNDAMENTAIS</b><br>Em que ponto estamos?                                                       | 04 | <b>EXTRATO DE POSIÇÕES</b><br>Pedido e consulta de posições de títulos                                         |
| 02 | <b>SUBSCRIÇÃO DE MENSAGENS</b><br>Conceitos principais e como subscrever                                  | 05 | <b>EXTRATO DE TRANSAÇÕES</b><br>Pedido e consulta de transações de títulos liquidadas                          |
| 03 | <b>POSIÇÃO GLOBAL DA <i>POOL</i></b><br>Disponibilização de informação sobre posições de ativos e crédito | 06 | <b>CONTACTOS E INFORMAÇÃO DE REFERÊNCIA</b><br>Como chegar até nós e onde encontrar informação sobre o projeto |

# TESTES FUNDAMENTAIS

01.1 Objetivos

01.2 Testes realizados até agora

01.3 O que falta fazer

01



# TESTES FUNDAMENTAIS

## OBJETIVOS:

1. Garantir que Bancos Centrais e suas comunidades executam um determinado número de casos de teste positivos e simples.
2. Garantir que não há interrupções em Produção causadas por preparação inadequada dos atores ECMS, protegendo os Bancos Centrais e suas comunidades da ocorrência de riscos sistêmicos.
3. Garantir que as funcionalidades mais utilizadas pelos Bancos Centrais e suas comunidades funcionam adequadamente.



# TESTES FUNDAMENTAIS

## TESTES REALIZADOS ATÉ AGORA:

1. Mobilização e desmobilização doméstica de ativos de garantia (testes 1 e 3)
2. Cancelamento de mobilização e de desmobilização doméstica de ativos de garantia (testes 2 e 4)
3. Alteração da linha de crédito máxima (teste 11)
4. Alteração de *credit freezing* (teste 12)
5. Mobilização e desmobilização manual de *cash* na sequência de *margin call* (testes 8 e 9)
6. Pedido de facilidade de cedência de liquidez (teste 19)
7. Operações de mercado aberto (testes 13 a 18)
8. Anúncio e confirmação de eventos corporativos (testes 25 a 30)



# TESTES FUNDAMENTAIS

## O QUE FALTA FAZER:

1. Transferência de ativos de garantia para a *pool* na sequência de crédito intradiário não reembolsado no T2S (teste 10)
2. Execução de facilidade de cedência de liquidez automática (teste 20)
3. Processamento de pedido de posição global da *pool* (teste 21)
4. Processamento de pedido de extrato de posições (teste 22)
5. Processamento de pedido de extrato de transações (teste 23)



# TESTES FUNDAMENTAIS

## O QUE FALTA FAZER:

1. Transferência de ativos de garantia para a *pool* na sequência de crédito intradiário não reembolsado no T2S (teste 10)
2. Execução de facilidade de cedência de liquidez automática (teste 20)
3. Processamento de pedido de posição global da *pool* (teste 21)
4. Processamento de pedido de extrato de posições (teste 22)
5. Processamento de pedido de extrato de transações (teste 23)

# SUBSCRIÇÃO DE MENSAGENS

02.1 Conceitos a reter

02.2 Como subscrever

02.3 Horários

02.4 Como obter a mensagem A2A



# SUBSCRIÇÃO DE MENSAGENS

## CONCEITOS A RETER:

1. A subscrição de mensagens é feita exclusivamente pelo Banco Central, a pedido da contraparte
2. O envio de mensagens A2A é feito para pedidos A2A e U2A
3. Toda a informação enviada via A2A é consultável via U2A
4. A subscrição é feita por tipo de mensagem ou por função (no caso de mensagens com funções diferentes)
5. Possibilidade de subscrição granular por *pool* ou por conta interna
6. Pedidos pontuais para o próprio dia retornam a posição/extrato do momento do pedido
7. Periodicidade: diária, semanal, mensal ou anual



# SUBSCRIÇÃO DE MENSAGENS

## COMO SUBSCREVER?

1. Antes da entrada em produção ➔ via formulário de registo:

| Select 'ALL' in case you DO NOT WISH TO SUBSCRIBE TO ANY MESSAGE. Select 'UPDATED' in case you changed from 'YES' to 'NO' any of the messages below. |              |                                       |                  |           |
|------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---------------------------------------|------------------|-----------|
| Purpose                                                                                                                                              | Message code | Message Name                          | Subscribed (Y/N) | Frequency |
| Collateral and Credit                                                                                                                                | colr.016     | Pool Position Report                  |                  | Daily     |
| Securities Management                                                                                                                                | semt.002     | Securities Balance Custody Report     |                  | Daily     |
| Securities Management                                                                                                                                | semt.017     | Securities Transaction Posting Report |                  | Daily     |

2. Após entrada em produção ➔ via formulário anexo à instrução que substituirá a do COLMS (nº10/2015):



BANCO DE PORTUGAL  
EUROSISTEMA

Instrução n.º 10/2015  
BO n.º 7 • 15-07-2015

Temas

Mercados • Mercados Monetários

### Índice

#### Texto da Instrução

Anexo I – Reporte de informação estática

Anexo II – Comunicação de operações de política monetária (em contingência)

Anexo III – Comunicação de instruções relacionadas com a gestão de ativos de garantia (em contingência)

Anexo IV – Pedido de alteração do crédito intradiário no TARGET-PT (em contingência)

Anexo V – Protocolo para representação nos sistemas de informação do Banco de Portugal para a gestão de ativos de garantia e operações de política monetária do Eurosistema e crédito intradiário

Anexo VI – Assinaturas autorizadas para a comunicação de instruções em situação de contingência

Anexo VII – Desativação do “princípio dos quatro-olhos”

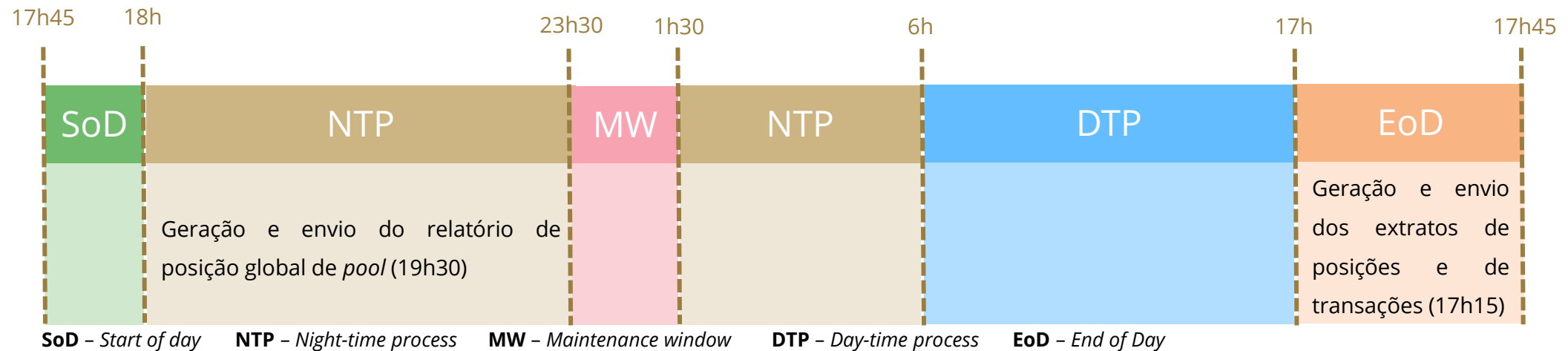
#### Texto da Instrução

Assunto: COLMS – Sistema de Gestão de Ativos de Garantia e Operações



# SUBSCRIÇÃO DE MENSAGENS

## HORÁRIOS:



Horário de Portugal continental

- Pedidos via *admi.005* serão processados imediatamente em qualquer horário, exceto durante a janela de manutenção.



# SUBSCRIÇÃO DE MENSAGENS

## COMO OBTER A MENSAGEM A2A?

### 1. Subscrição com frequência definida

Realizada pelo BdP a pedido da instituição, via ecrã:

### 2. Pull mode (pontual ou programado)

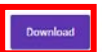
Mensagem **admi.005** enviada pelo utilizador A2A da instituição para o ECMS:



[ReportQueryRequestV01 \(admi.005.001.01\)](#)

### 3. Download via ecrã (apenas para colr.016)

Utilizador da instituição acede ao ecrã e descarrega a mensagem:



flowOut\_11572738.xml

(nomenclatura de mensagem  
descarregada do ECMS)

# POSIÇÃO GLOBAL DA *POOL*

03.1 Consulta U2A vs A2A

03.2 Consultar a subscrição

03.3 Consultar o relatório gerado

03.4 Consultar pedido via admi.005

03.5 Caso de teste relevante



# POSIÇÃO GLOBAL DA POOL

## CONSULTA U2A

Módulo *Collateral and Credit* > *Pool Overview* > *Pool Overview*

Pool Overview

POOL POSITIONS

COLLATERAL

Marketable Asset Collateral

Externally Managed Collateral

Cash Collateral

Fixed Term Deposit

TRIPARTY COLLATERAL

Credit Claims

Total Collateral

Total Collateral Operations 2,788,444,472.06

Relative Credit Limit

Total Collateral affected to OMO 0

Total Collateral Value 2,788,444,472.06

EXPOSURE

Credit Freezing

Total Credit Freezing 21,000

Credit Freezing Position

EURO OMO

Non EURO OMO

Marginal Lending Facility

Total Exposure

Total Credit 21,000

CREDIT LINE DETAILS

| SCL                     | ECL                | RCL              | Maximum Credit Line value |
|-------------------------|--------------------|------------------|---------------------------|
| 2,788,444,472.06        | 2,788,444,472.06   | 2,788,444,472.06 | 99,999,999,999            |
| Insufficient Collateral | Collateral Deficit | Excess ECL       | Deficit Econs             |
| No                      | 0                  | 0                | 0                         |

Credit Line Details

## REPORTE A2A

Subscrição / Pull mode / Download via ecrã

```
<Response>
  <AppHdr xmlns="urn:iso:std:iso:20022:tech:xsd:head.001.001.01">
    <Fr>
      <FIId>
        <FinInstnId>
          <BICFI>TRGTXTETECM</BICFI>
          <Othr>
            <Id>BGALPTTGXXX</Id>
          </Othr>
        </FinInstnId>
      </FIId>
    </Fr>
    <To>
      <FIId>
        <FinInstnId>
          <BICFI>BGALPTTGXXX</BICFI>
          <Othr>
            <Id>BGALPTTGXXX</Id>
          </Othr>
        </FinInstnId>
      </FIId>
    </To>
    <BizMsgId>16676958780</BizMsgId>
    <MsgDefId>colr.016.001.04</MsgDefId>
    <CreDt>2024-10-15T18:30:20Z</CreDt>
  </AppHdr>
  <Document xmlns="urn:iso:std:iso:20022:tech:xsd:colr.016.001.04"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
    <CollAndXpsrRpt>
      <RptParams>
        <RptId>PTCLR00000002879</RptId>
        <RptDtAndTm>
          <DtTm>2024-10-16T18:30:20Z</DtTm>
        </RptDtAndTm>
        <Frqcy>DAIL</Frqcy>
        <RptCcy>EUR</RptCcy>
      </RptParams>
    </CollAndXpsrRpt>
  </Document>
</Response>
```

PoolPositionReportV04 (colr.016.001.04)



# POSIÇÃO GLOBAL DA POOL

## CONSULTA U2A (PERMITE VER INFORMAÇÃO DESAGREGADA)

POOL POSITIONS

COLLATERAL

Marketable Asset Collateral

Domestic Collateral

15,288,633.65

CCBM Collateral

12,204,366.8

Emergency foreign collateral

0

Cross Border Collateral

0

Total Eligible Marketable Asset

28,219,006.45

Externally Managed Collateral

EXPOSURE

Credit Freezing

Total Credit Freezing

0

Credit Freezing Position

Euro LPO

Total Euro LPO

9,400,000

Total Euro LPO Margin

0

Total Euro LPO Interest

Search Result : MA Collateral Position

| ISIN Code    | Asset Positions | ECMS Counterp | CSD               | External Asset A | Currency | Value Before Hat | Collateral Positi | Is Eligible |
|--------------|-----------------|---------------|-------------------|------------------|----------|------------------|-------------------|-------------|
| XS2082324018 | 1,000,000       | PT64MA001     | BE0203201340      | BEPTMAPMGTC      | EUR      | 1,001,549.02     | 906,401.86        | Yes         |
| XS0502286908 | 2,000,000       | PT64MA001     | BE0203201340      | BEPTMAPMGTC      | EUR      | 2,062,613.42     | 2,041,987.29      | Yes         |
| AT0000A1K9F1 | 100,000         | PT64MA001     | AT000000388815... | ATPTMAPOEKB      | EUR      | 76,281.2         | 72,467.14         | Yes         |
| PTNOBFOM0009 | 15,000,000      | PT64MA001     | PT502962275       | IBLSBGALPTPLX... | EUR      | 15,521,455.48    | 15,288,633.65     | Yes         |
| AT0000A1LLC8 | 100,000         | PT64MA001     | AT000000388815... | ATPTMAPOEKB      | EUR      | 97,234.29        | 95,775.77         | Yes         |
| GR0128017747 | 4,000,000       | PT64MA001     | GR010             | GRPTMAPBOGS      | EUR      | 4,426,786.85     | 3,873,438.49      | Yes         |
| AT0000A1FAP5 | 4,000,000       | PT64MA001     | AT000000388815... | ATPTMAPOEKB      | EUR      | 3,950,674.52     | 3,930,921.15      | Yes         |
| XS2538441598 | 2,000,000       | PT64MA001     | BE0203201340      | BEPTMAPMGTC      | EUR      | 2,196,038.36     | 2,009,375.1       | Yes         |

Para mais informações acerca do acesso ao ecrã: consultar a [sessão de maio de 2023](#).

## REPORTE A2A (INFORMAÇÃO SEMPRE AGREGADA)

```
<CollValtn>
  <CollId>Fixed Term Deposit Interest</CollId>
  <CollTp>CFTI</CollTp>
  <SttlmSts>STLD</SttlmSts>
  <ValtnAmts>
    <CollAmt Ccy="EUR">0</CollAmt>
    <RptdCcyAndAmt Ccy="EUR">0</RptdCcyAndAmt>
    <MktValAmt Ccy="EUR">0</MktValAmt>
  </ValtnAmts>
</CollValtn>
<CollValtn>
  <CollId>Triparty Collateral</CollId>
  <CollTp>CTRC</CollTp>
  <SttlmSts>STLD</SttlmSts>
  <ValtnAmts>
    <CollAmt Ccy="EUR">0</CollAmt>
    <RptdCcyAndAmt Ccy="EUR">0</RptdCcyAndAmt>
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  <CollId>Cash as Collateral</CollId>
  <CollTp>CASH</CollTp>
  <SttlmSts>STLD</SttlmSts>
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</CollValtn>
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    <RptdCcyAndAmt Ccy="EUR">379710.33</RptdCcyAndAmt>
    <MktValAmt Ccy="EUR">379710.33</MktValAmt>
  </ValtnAmts>
</CollValtn>
```



# POSIÇÃO GLOBAL DA POOL

## CONSULTA U2A (PERMITE VER INFORMAÇÃO DESAGREGADA)

POOL POSITIONS

**COLLATERAL**

Marketable Asset Collateral

Domestic Collateral: 15,288,633.65

CCBM Collateral: 12,204,366.8

Emergency foreign collateral: 0

Cross Border Collateral: 0

Total Eligible Marketable Asset: 28,219,000.45

Externally Managed Collateral

**EXPOSURE**

Credit Freezing

Total Credit Freezing: 0

Credit Freezing Position

Euro LPO

Total Euro LPO: 9,400,000

Total Euro LPO Margin: 0

Total Euro LPO Interest

Search Result : MA Collateral Position

| ISIN Code    | Asset Positions | ECMS Counterp. | CSD               | External Asset A. | Currency | Value Before Hat | Collateral Positi | Is Eligible |
|--------------|-----------------|----------------|-------------------|-------------------|----------|------------------|-------------------|-------------|
| XS2082324018 | 1,000,000       | PT64MA001      | BE0203201340      | BEPTMAPMGTC       | EUR      | 1,001,549.02     | 906,401.86        | Yes         |
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| AT0000A1K9F1 | 100,000         | PT64MA001      | AT000000388815... | ATPTMAPOEKB       | EUR      | 76,281.2         | 72,467.14         | Yes         |
| PTNOBFOM0009 | 15,000,000      | PT64MA001      | PT502962275       | IBLSBGALPTPLX...  | EUR      | 15,521,455.48    | 15,288,633.65     | Yes         |
| AT0000A1LLC8 | 100,000         | PT64MA001      | AT000000388815... | ATPTMAPOEKB       | EUR      | 97,234.29        | 95,775.77         | Yes         |
| GR0128017747 | 4,000,000       | PT64MA001      | GR010             | GRPTMAPBOGS       | EUR      | 4,426,786.85     | 3,873,438.49      | Yes         |
| AT0000A1FAP5 | 4,000,000       | PT64MA001      | AT000000388815... | ATPTMAPOEKB       | EUR      | 3,950,674.52     | 3,930,921.15      | Yes         |
| XS2538441598 | 2,000,000       | PT64MA001      | BE0203201340      | BEPTMAPMGTC       | EUR      | 2,196,038.36     | 2,009,375.1       | Yes         |

## REPORTE A2A (INFORMAÇÃO SEMPRE AGREGADA)

```
<CollValtn>
  <CollId>Fixed Term Deposit Interest</CollId>
  <CollTp>CFTI</CollTp>
  <SttlmSts>STLD</SttlmSts>
  <ValtnAmts>
    <CollAmt Ccy="EUR">0</CollAmt>
    <RptdCcyAndAmt Ccy="EUR">0</RptdCcyAndAmt>
    <MktValAmt Ccy="EUR">0</MktValAmt>
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</CollValtn>
<CollValtn>
  <CollId>Triparty Collateral</CollId>
  <CollTp>CTRC</CollTp>
  <SttlmSts>STLD</SttlmSts>
  <ValtnAmts>
    <CollAmt Ccy="EUR">0</CollAmt>
    <RptdCcyAndAmt Ccy="EUR">0</RptdCcyAndAmt>
    <MktValAmt Ccy="EUR">0</MktValAmt>
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<CollValtn>
  <CollId>Cash as Collateral</CollId>
  <CollTp>CASH</CollTp>
  <SttlmSts>STLD</SttlmSts>
  <ValtnAmts>
    <CollAmt Ccy="EUR">0</CollAmt>
    <RptdCcyAndAmt Ccy="EUR">0</RptdCcyAndAmt>
    <MktValAmt Ccy="EUR">0</MktValAmt>
  </ValtnAmts>
</CollValtn>
<CollValtn>
  <CollId>Marketable Asset Collateral</CollId>
  <CollTp>SECU</CollTp>
  <SttlmSts>STLD</SttlmSts>
  <ValtnAmts>
    <CollAmt Ccy="EUR">395531.59</CollAmt>
    <RptdCcyAndAmt Ccy="EUR">379710.33</RptdCcyAndAmt>
    <MktValAmt Ccy="EUR">379710.33</MktValAmt>
  </ValtnAmts>
</CollValtn>
```

Para mais informações acerca do acesso ao *ecrã*: consultar a [sessão de maio de 2023](#).



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR A SUBSCRIÇÃO:

➤ Módulo *Collateral and Credit* > *Setup* > *Process Configuration* > *Pool Position Report Subscription*

The screenshot displays the Target ECMS interface for the 'Pool Position Report Subscription' configuration. The top navigation bar is purple and includes the Target ECMS logo, the business date '21/10/2024', and user information 'PTCB' and 'AEAC-W, PT-CGD-CPTYADMIN'. The main content area has a purple header with the title 'Pool Position Report Subscription'. Below this, the search criteria are set to 'Pool Position Report Periodic Config'. A search bar with a dropdown menu for 'Pool Identifier' and a search icon is present. A green 'Reset' button is on the left, and a blue 'Search' button is on the right, highlighted with a red rectangle. Below the search bar, there are two sections: 'Latest Searches' and 'Stored Searches'. The 'Latest Searches' section shows a table with columns 'Date' and 'Criteria'. The 'Stored Searches' section contains a message: 'You have no stored search. To start customizing, launch a search and use the "Favorite" button to start your first search.'

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR A SUBSCRIÇÃO:

➤ Módulo *Collateral and Credit* > *Setup* > *Process Configuration* > *Pool Position Report Subscription*

The screenshot displays the 'Pool Position Report Subscription' page. At the top, there's a purple header with the 'target services ECMS' logo and user information. Below the header, the page title 'Pool Position Report Subscription' is visible. The main section is titled 'Search Criteria : Pool Position Report Periodic Config'. It contains a search bar with a 'Pool Identifier' dropdown and a 'Search' button. A 'Reset' button is also present. Below the search criteria, the 'Search Result : Pool Position Report Periodic Config' section shows a table with one row of data. The table has columns for Pool Identifier, Start Date, Frequency, Update User Id, and Update Date. A red arrow points from the 'Pool Identifier' column to a text box below the table.

| Pool Identifier  | Start Date | Frequency | Update User Id | Update Date             |
|------------------|------------|-----------|----------------|-------------------------|
| POOL000000000359 | 21/10/2024 | Daily     | PTCB-MG-ADMIN  | 21/10/2024 17:11:18:414 |

A subscrição é feita por *pool*

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR O RELATÓRIO GERADO:

➤ Módulo *Collateral and Credit > Reporting > Automatic Pool Position Report*

The screenshot displays the Target ECMS interface. At the top, there is a purple header bar with the Target ECMS logo, the business date (22/10/2024), and user information (PTCB, APPR-W, Monica Gomes). Below the header, there are three tabs: "Automatic Pool Position Report", "Pool Position Report Subscription", and "Automatic Pool Position Report". The "Automatic Pool Position Report" tab is selected. The main content area shows the "Search Criteria : Pool Position Report Process" section. It includes a search bar with "Pool Identifier" and "Generation Date" fields, each with a dropdown menu and a search icon. A "Reset" button is located on the left, and a "Search" button is highlighted with a red rectangle on the right.

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR O RELATÓRIO GERADO:

➤ Módulo *Collateral and Credit* > *Reporting* > *Automatic Pool Position Report*

target services | ECMS

Business Date 22/10/2024

PTCB

APPR-W, Monica Gomes

Automatic Pool Position Report

Pool Position Report Subscription

Automatic Pool Position Report

Search Criteria : Pool Position Report Process

Pool Identifier ==

Generation Date ==

Reset

Search

Search Result : Pool Position Report Process > View : Pool Position Report Process

10

6

Star

Print

Refresh

Filter

| Pool Identifier  | Generation Date | Execution Date | Frequency |
|------------------|-----------------|----------------|-----------|
| PTPL000060004001 | 21/10/2024      | 18/10/2024     | Daily     |
| PTPL000060004001 | 18/10/2024      | 17/10/2024     | Daily     |
| PTPL000060004001 | 17/10/2024      | 16/10/2024     | Daily     |
| PTPL000060004001 | 16/10/2024      | 15/10/2024     | Daily     |
| PTPL000060004001 | 15/10/2024      | 14/10/2024     | Daily     |
| PTPL000060004001 | 14/10/2024      | 11/10/2024     | Daily     |

View

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR O RELATÓRIO GERADO:

➤ Módulo *Collateral and Credit* > *Reporting* > *Automatic Pool Position Report*

target | ECMS

Business Date 22/10/2024

PTCB

APPR-W, Monica Gomes

Automatic Pool Position Report

Search Criteria : Pool Position Report Process

Pool Identifier ==

Generation Date ==

Reset

Data de geração do relatório

Data da posição de pool

Search

Search Result : Pool Position Report Process > View : Pool Position Report Process

10

| Pool Identifier  | Generation Date | Execution Date | Frequency |
|------------------|-----------------|----------------|-----------|
| PTPL000060004001 | 21/10/2024      | 18/10/2024     | Daily     |
| PTPL000060004001 | 18/10/2024      | 17/10/2024     | Daily     |
| PTPL000060004001 | 17/10/2024      | 16/10/2024     | Daily     |
| PTPL000060004001 | 16/10/2024      | 15/10/2024     | Daily     |
| PTPL000060004001 | 15/10/2024      | 14/10/2024     | Daily     |
| PTPL000060004001 | 14/10/2024      | 11/10/2024     | Daily     |

View

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR O RELATÓRIO GERADO:

➤ Módulo *Collateral and Credit* > *Reporting* > *Automatic Pool Position Report*

target services | ECMS

Business Date 22/10/2024

PTCB

APPR-W, Monica Gomes

Automatic Pool Position Report

Pool Position Report Subscription

Automatic Pool Position Report

Search Criteria : Pool Position Report Process

Pool Identifier ==

Generation Date ==

Reset

Search

Search Result : Pool Position Report Process > View : Pool Position Report Process

10

6

★

| Pool Identifier  | Generation Date | Execution Date | Frequency |
|------------------|-----------------|----------------|-----------|
| PTPL000060004001 | 21/10/2024      | 18/10/2024     | Daily     |
| PTPL000060004001 | 18/10/2024      | 17/10/2024     | Daily     |
| PTPL000060004001 | 17/10/2024      | 16/10/2024     | Daily     |
| PTPL000060004001 | 16/10/2024      | 15/10/2024     | Daily     |
| PTPL000060004001 | 15/10/2024      | 14/10/2024     | Daily     |
| PTPL000060004001 | 14/10/2024      | 11/10/2024     | Daily     |

View

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR O RELATÓRIO GERADO:

➤ Módulo *Collateral and Credit* > *Reporting* > *Automatic Pool Position Report*

The screenshot displays the 'Automatic Pool Position Report' interface. At the top, there's a purple header with the 'target services ECMS' logo, the business date '22/10/2024', and user information 'PTCB' and 'APPR-W, Monica Gomes'. Below the header, there are three tabs: 'Automatic Pool Position Report' (selected), 'Pool Position Report Subscription', and 'Automatic Pool Position Report'. The main section is titled 'Search Criteria : Pool Position Report Process'. It contains search fields for 'Pool Identifier' and 'Generation Date', a 'Reset' button, and a 'Search' button. Below the search criteria, there's a 'Search Result : Pool Position Report Process' section with a 'View : Pool Position Report Process' link. The results table shows one entry with the following details:

| Pool Identifier  | Generation Date | Execution Date | Frequency |
|------------------|-----------------|----------------|-----------|
| PTPL000060004001 | 21/10/2024      | 18/10/2024     | Daily     |

Below the table, there's a 'FootPrint' dropdown menu. A red box highlights the 'Notifications [1]' link next to the search results.

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR O RELATÓRIO GERADO:

➤ Módulo *Collateral and Credit* > *Reporting* > *Automatic Pool Position Report*

target

ECMS

Business Date 22/10/2024

PTCB

APPR-W, Monica Gomes

Automatic Pool Position Report

Pool Position Report Subscription

Automatic Pool Position Report

Search Criteria : Pool Position Report Process

Search Result : Pool Position Report Process > View : Pool Position Report Process

Pool Position Report Process Notifications [1]

View: Notifications

10

| Message Id  | Notification Name | Notifications Status | Error Description | Update Date             | Update User Id              |
|-------------|-------------------|----------------------|-------------------|-------------------------|-----------------------------|
| 16826655230 | Collateral Report | Processed            |                   | 18/10/2024 20:30:13-946 | ECMSOperationalDayScheduler |

Download

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR O RELATÓRIO GERADO:

- Módulo *Collateral and Credit* > *Reporting* > *Automatic Pool Position Report*

Search Result : Pool Position Report Process > View : Pool Position Report Process

Pool Position Report Process Notifications [1]

View: Notifications

| Message Id  | Notification Name | Notifications Status | Error Description | Update Date             | Update User Id              |
|-------------|-------------------|----------------------|-------------------|-------------------------|-----------------------------|
| 16826655230 | Collateral Report | Processed            |                   | 18/10/2024 20:30:13-946 | ECMSOperationalDayScheduler |

Download

This PC > Downloads >

| Name                 | Date modified    | Type                | Size |
|----------------------|------------------|---------------------|------|
| Yesterday (5)        |                  |                     |      |
| flowOut_11779158.xml | 22-10-2024 22:18 | Microsoft Edge H... | 6 KB |
| flowOut_11815610.xml | 22-10-2024 22:17 | Microsoft Edge H... | 6 KB |
| flowOut_11572738.xml | 22-10-2024 20:51 | Microsoft Edge H... | 7 KB |
| flowOut_11815614.xml | 22-10-2024 20:44 | Microsoft Edge H... | 6 KB |
| Transferencias       | 22-10-2024 17:37 | File folder         |      |
| Last week (15)       |                  |                     |      |



flowOut\_11717316.xml

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR PEDIDO VIA ADMI.005:

➤ Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Query*

The screenshot shows the 'target services | ECMS' interface. The top navigation bar is purple with a hamburger menu icon, the logo, and user information: 'Business Date 04/12/2023', 'PTCB', and 'AEAC-W, Monica Gomes'. Below the navigation bar, there are three tabs: 'Pool Overview', 'Pool Position Query' (selected), and 'Pool Position Report'. The main content area is titled 'Search criteria : Pool Position Query'. It contains several search filters: 'Message Id' with a dropdown menu, 'Party Id' with a dropdown menu, 'Account Id' with a dropdown menu, 'Equal Date' with a dropdown menu and a calendar icon, and 'Creation Date' with a dropdown menu and a calendar icon. At the bottom left, there is a 'Reset' button. At the bottom right, there is a 'Search' button highlighted with a red rectangle.

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR PEDIDO VIA ADMI.005:

➤ Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Query*

target services | ECMS

Business Date 04/12/2023

PTCB

AEAC-W, Monica Gomes

Pool Overview

Pool Position Query

Pool Position Report

Search criteria : Pool Position Query

Message Id ==

Party Id ==

Account Id ==

Equal Date == 30/11/2023

Creation Date ==

Reset

Search

Search Result : Pool Position Query

10

4

|  | Message Id       | Party Id    | Responsible Party Id | Account Id       | Equal Date | Notifications Status | Update Date             | Update User Id       |
|--|------------------|-------------|----------------------|------------------|------------|----------------------|-------------------------|----------------------|
|  | MID2023113000194 | BCOMPTPLXXX | BGALPTTGXXX          | POOL000000000358 | 30/11/2023 | Impacted             | 30/11/2023 18:10:00:168 | PTBGALPTTGXXXAPLSLIM |
|  | MID2023113000116 | BBPIPTPLXXX | BGALPTTGXXX          | POOL000000000356 | 30/11/2023 | Impacted             | 30/11/2023 16:00:48:767 | PTBGALPTTGXXXAPLSLIM |
|  | MID2023113000103 | BCOMPTPLXXX | BGALPTTGXXX          | POOL000000000358 | 30/11/2023 | Impacted             | 30/11/2023 13:07:01:658 | PTBGALPTTGXXXAPLSLIM |
|  | MID2023113000094 | BCOMPTPLXXX | BGALPTTGXXX          | POOL000000000358 | 30/11/2023 | Impacted             | 30/11/2023 12:24:26:229 | PTBGALPTTGXXXAPLSLIM |

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR PEDIDO VIA ADMI.005:

- Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Query*

ECMS valida se o utilizador A2A tem permissões para realizar o pedido

Não?

| Notifications Status | Update Date             | Update User Id |
|----------------------|-------------------------|----------------|
| Rejected             | 28/03/2024 12:10:59:591 | admin          |
| Rejected             | 28/03/2024 12:10:58:310 | admin          |
| Rejected             | 28/03/2024 12:10:54:484 | admin          |
| Rejected             | 28/03/2024 12:10:54:482 | admin          |
| Rejected             | 28/03/2024 12:10:54:482 | admin          |
| Rejected             | 28/03/2024 12:10:54:481 | admin          |

Sim?

| Notifications Status | Update Date             | Update User Id       |
|----------------------|-------------------------|----------------------|
| Impacted             | 30/11/2023 18:10:00:168 | PTBGALPTTGXXXAPLSLIM |
| Impacted             | 30/11/2023 16:00:48:767 | PTBGALPTTGXXXAPLSLIM |
| Impacted             | 30/11/2023 13:07:01:658 | PTBGALPTTGXXXAPLSLIM |
| Impacted             | 30/11/2023 12:24:26:229 | PTBGALPTTGXXXAPLSLIM |

Utilizador A2A

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR PEDIDO VIA ADMI.005:

➤ Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Report*

The screenshot displays the 'Pool Position Report' interface within the 'target ECMS' system. The top navigation bar includes the 'target ECMS' logo, the business date '04/12/2023', and user information 'PTCB' and 'AEAC-W, Monica Gomes'. Below the navigation bar, there are tabs for 'Pool Overview', 'Pool Position Query', and 'Pool Position Report'. The 'Pool Position Report' tab is active, showing a search criteria section. The search criteria include fields for 'Reference', 'Party Bic', 'Ncb Bic', 'Update Date' (set to '30/11/2023 00:00:00:000'), and 'Update User Id'. A 'Reset' button is located on the left, and a 'Search' button is on the right, highlighted with a red box.

**Roles necessários:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 ou ECMS ENTITY - U2A POOL POSITION READ ONLY - #73



# POSIÇÃO GLOBAL DA POOL

## CONSULTAR PEDIDO VIA ADMI.005:

- Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Report*

target

ECMS

Business Date 04/12/2023

PTCB

AEAC-W, Monica Gomes

Pool Overview

Pool Position Query

Pool Position Report

Search criteria : Pool Position Report

Reference ==

Party Bic ==

Ncb Bic ==

Update Date >= 30/11/2023 00:00:00:000

Update User Id ==

Reset

Search

Search Result : Pool Position Report

10

8

|                                     | Reference  | Party Bic   | Ncb Bic     | Notifications Status | Error Description | Update Date             | Update User Id              |
|-------------------------------------|------------|-------------|-------------|----------------------|-------------------|-------------------------|-----------------------------|
| <input type="checkbox"/>            | 2897565930 | BCOMPTPLXXX | BGALPTTGXXX | Processed            |                   | 01/12/2023 20:30:10:336 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            | 2897565900 | BBPIPTPLXXX | BGALPTTGXXX | Processed            |                   | 01/12/2023 20:30:07:814 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            | 2885314700 | BCOMPTPLXXX | BGALPTTGXXX | Processed            |                   | 30/11/2023 20:30:18:025 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            | 2885314670 | BBPIPTPLXXX | BGALPTTGXXX | Processed            |                   | 30/11/2023 20:30:12:030 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            | 2885313560 | BCOMPTPLXXX | BGALPTTGXXX | Processed            |                   | 30/11/2023 18:10:05:734 | PTBGALPTTGXXXAPLSLIM        |
| <input type="checkbox"/>            | 2885303420 | BBPIPTPLXXX | BGALPTTGXXX | Processed            |                   | 30/11/2023 16:00:52:467 | PTBGALPTTGXXXAPLSLIM        |
| <input type="checkbox"/>            | 2885303260 | BCOMPTPLXXX | BGALPTTGXXX | Processed            |                   | 30/11/2023 13:07:09:975 | PTBGALPTTGXXXAPLSLIM        |
| <input checked="" type="checkbox"/> | 2885313150 | BCOMPTPLXXX | BGALPTTGXXX | Processed            |                   | 30/11/2023 12:24:33:276 | PTBGALPTTGXXXAPLSLIM        |

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# POSIÇÃO GLOBAL DA POOL

## CONSULTAR PEDIDO VIA ADMI.005:

- Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Report*

Pedidos gerados automaticamente durante o NTP

Pedidos gerados por *query* admi.005

Search Result : Pool Position Report

|                                     | Reference | Party Bic  | Ncb Bic     | Notifications Status | Error Description | Update Date             | Update User Id              |
|-------------------------------------|-----------|------------|-------------|----------------------|-------------------|-------------------------|-----------------------------|
| <input type="checkbox"/>            |           | 2897565930 | BCOMPTPLXXX | BGALPTTGXXX          | Processed         | 01/12/2023 20:30:10:336 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            |           | 2897565900 | BBPIPTPLXXX | BGALPTTGXXX          | Processed         | 01/12/2023 20:30:07:814 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            |           | 2885314700 | BCOMPTPLXXX | BGALPTTGXXX          | Processed         | 30/11/2023 20:30:18:025 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            |           | 2885314670 | BBPIPTPLXXX | BGALPTTGXXX          | Processed         | 30/11/2023 20:30:12:030 | ECMSOperationalDayScheduler |
| <input type="checkbox"/>            |           | 2885313560 | BCOMPTPLXXX | BGALPTTGXXX          | Processed         | 30/11/2023 18:10:05:734 | PTBGALPTTGXXXAPLSLIM        |
| <input type="checkbox"/>            |           | 2885303420 | BBPIPTPLXXX | BGALPTTGXXX          | Processed         | 30/11/2023 16:00:52:467 | PTBGALPTTGXXXAPLSLIM        |
| <input type="checkbox"/>            |           | 2885303260 | BCOMPTPLXXX | BGALPTTGXXX          | Processed         | 30/11/2023 13:07:09:975 | PTBGALPTTGXXXAPLSLIM        |
| <input checked="" type="checkbox"/> |           | 2885313150 | BCOMPTPLXXX | BGALPTTGXXX          | Processed         | 30/11/2023 12:24:33:276 | PTBGALPTTGXXXAPLSLIM        |

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# POSIÇÃO GLOBAL DA POOL

## ➔ CASO DE TESTE RELEVANTE

| Test case ID    | Domain                | Functionality | Test case name                                        |
|-----------------|-----------------------|---------------|-------------------------------------------------------|
| ECMS_TC_CPTY_21 | Collateral management | Reports       | Successful processing of pool position report request |

FONTE: [ECMS user testing - fundamental test cases](#)

### TESTE U2A

- Aceder ao menu:** *Collateral and Credit > Reporting > Automatic Pool Position Report*
- Clicar em *search*** para obter os registos disponíveis
- Selecionar um registo** e fazer download da mensagem colr.016
- Verificar os dados**

### TESTE A2A

- Enviar *ReportQueryRequest* (admi.005):** o utilizador A2A deverá ter o role *ECMS ENTITY - A2A REPORTING (ADMI.005) EXECUTION*
- Validações OK:** ECMS envia *PoolPositionReport* (colr.016)  
**Validações NOK:** ECMS envia *ReceiptAcknowledgement* (admi.007)
- Verificar os dados**



# POSIÇÃO GLOBAL DA POOL

## RESUMO:

1

### Consultar a subscrição

Módulo *Collateral and Credit* > *Setup* > *Process Configuration* > *Pool Position Report Subscription*

**Roles:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 / READ ONLY - #73

2

### Consultar o relatório gerado

Módulo *Collateral and Credit* > *Reporting* > *Automatic Pool Position Report*

**Roles:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 / READ ONLY - #73

3

### Consultar pedido via admi.005

Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Query*

Módulo *Collateral and Credit* > *Notification* > *Pool Position* > *Pool Position Report*

**Roles:** ECMS ENTITY - U2A POOL POSITION EXECUTION - #72 / READ ONLY - #73

# EXTRATO DE POSIÇÕES

- 04.1 Consulta U2A vs A2A
- 04.2 Consultar a subscrição
- 04.3 Consultar o extrato gerado
- 04.4 Gerar extrato via ecrã
- 04.5 Caso de teste relevante



# EXTRATO DE POSIÇÕES

## CONSULTA U2A

Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

Search Result : Statement Of Holdings Process > View : Statement Of Holdings Process

Statement Id

SH2410232690027

Process Type

Real

Position Basis

Settlement Date

Internal Asset Account

PT36MA001

NCB Participant

PT36

NCB Participant Type

COUNTERPARTIES

Process Date

23/10/2024

Report Frequency

Daily

Description

A2A and U2A

Failure Reason

Notifications

Notifications [0]

Detailed Positions

Detailed Positions [3]

View : Client Holding Statement

10

3

| Statement Id... | Statemen...  | Internal Ass... | NCB Parti... | Instrumen... | Amount    | Den... | Collateral Valu... | Issuer CSD     | NCB Cust...  | Update D...  | Updater U... |
|-----------------|--------------|-----------------|--------------|--------------|-----------|--------|--------------------|----------------|--------------|--------------|--------------|
| SH24102326...   | 23/10/202... | PT36MA001       | PT36         | IT0001444... | 1,000,000 | EUR    | 1,091,828.3481     | IT000013933... | IT0000125... | 23/10/202... | ECMSOper...  |
| SH24102326...   | 23/10/202... | PT36MA001       | PT36         | PTOTEPOE...  | 8,000,000 | EUR    | 5,639,305.1536     | PT50296227...  | PT502962...  | 23/10/202... | ECMSOper...  |
| SH24102326...   | 23/10/202... | PT36MA001       | PT36         | ES041332...  | 1,000,000 | EUR    | 1,020,892.31937    | ESA8269567...  | ES9000       | 23/10/202... | ECMSOper...  |

## REPORTE A2A

Subscrição / Pull mode

```
<Response>
  <AppHdr xmlns="urn:iso:std:iso:20022:tech:xsd:head.001.001.01">
    <Fr>
      <FIId>
        <FinInstnId>
          <BICFI>TRGTXTETECM</BICFI>
          <Othr>
            <Id>BGALPTTGXXX</Id>
          </Othr>
        </FinInstnId>
      </FIId>
    </Fr>
    <To>
      <FIId>
        <FinInstnId>
          <BICFI>MPIOPTPLXXX</BICFI>
          <Othr>
            <Id>BGALPTTGXXX</Id>
          </Othr>
        </FinInstnId>
      </FIId>
    </To>
    <BizMsgId>16999702700</BizMsgId>
    <MsgDefId>semt.002.001.10</MsgDefId>
    <CreDt>2024-10-23T15:11:59Z</CreDt>
  </AppHdr>
  <Document xmlns="urn:iso:std:iso:20022:tech:xsd:semt.002.001.10">
    <SctiesBalCtdyRpt>
      <Pgntn>
        <PgNb>1</PgNb>
        <LastPgInd>true</LastPgInd>
      </Pgntn>
      <StmntGnlDtls>
        <StmntId>SH2410232730006</StmntId>
        <StmntDtTm>
          <DtTm>2024-10-23T15:11:59.731Z</DtTm>
        </StmntDtTm>
        <Frqcy>
          <Cd>DAIL</Cd>
        </Frqcy>
      </StmntGnlDtls>
    </SctiesBalCtdyRpt>
  </Document>
</Response>
```

SecuritiesBalanceCustodyReport V09 (semt.002.001.10)



# EXTRATO DE POSIÇÕES

## CONSULTAR A SUBSCRIÇÃO:

Para conhecimento

Ecrã não disponível para as instituições

Search Result : Statement Of Holdings Periodic Config

| NCB Participant Type | NCB Participant | Internal Asset Account | Statement Subscription Type | Start Date | Frequency |
|----------------------|-----------------|------------------------|-----------------------------|------------|-----------|
| ....                 |                 | PTITMAPIBLS            | A2A and U2A                 | 23/09/2024 | Daily     |
| ....                 | IT0000125236019 | ....                   | A2A and U2A                 | 23/09/2024 | Daily     |
| ....                 |                 | PTDEMARIBLS            | A2A and U2A                 | 23/09/2024 | Daily     |
| COUNTERPARTIES       |                 | ....                   | A2A and U2A                 | 23/09/2024 | Daily     |
| CROSS NCBs           |                 | ....                   | A2A and U2A                 | 23/09/2024 | Daily     |
| ....                 | ES9000          | ....                   | A2A and U2A                 | 23/09/2024 | Daily     |

Níveis de granularidade da subscrição



# EXTRATO DE POSIÇÕES

## CONSULTAR O EXTRATO GERADO:

A. Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

| Internal Asset | NCB Part | NCB Part   | Statement Id    | Statement D | Process | Failure R | Report Fr | Update D    | Updater    | Asset Ca  |
|----------------|----------|------------|-----------------|-------------|---------|-----------|-----------|-------------|------------|-----------|
| PT7MA001       | PT7      | COUNTER... | SH2410232690030 | 23/10/2024  | Done    |           | Daily     | 23/10/20... | ECMSOpe... | MARKET... |
| PT7MA001RPSC   | PT7      | COUNTER... | SH2410232690028 | 23/10/2024  | Done    |           | Daily     | 23/10/20... | ECMSOpe... | MARKET... |

(slides 38 a 44)

B. Módulo *Reference Data* > *Reports* > *U2A Statement of Holdings*

| Statement Id    | Statement  | Internal Asset Ac | NCB | NCB     | Instrument Id  | Amount     | Deno | Collat    | Issuer   | NCB      | State |
|-----------------|------------|-------------------|-----|---------|----------------|------------|------|-----------|----------|----------|-------|
| SH2410232690028 | 23/10/2024 | PT7MA001RPSC      | PT7 | COUN... | PTRAADOM00...  | 3,500,000  | EUR  | 406,17... | PT502... | PT502... | SETT  |
| SH2410232690028 | 23/10/2024 | PT7MA001RPSC      | PT7 | COUN... | PTOTEA0E000... | 5,000,000  | EUR  | 4,686...  | PT502... | PT502... | SETT  |
| SH2410232690030 | 23/10/2024 | PT7MA001          | PT7 | COUN... | DE0001135085   | 20,000,000 | EUR  | 21,899... | DE057... | DE069... | SETT  |

(slides 45 a 47)



# EXTRATO DE POSIÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data > Statements & Messages > Statement of Holdings > Monitor Notifications Generated*

The screenshot shows the 'Monitor Notifications Generated' interface. At the top, there is a purple header bar with the 'target ECMS' logo, the business date '24/10/2024', and user roles 'PTCB' and 'AEAC-W, PT-CENG-CPTYADMIN'. Below the header, there are three tabs: 'U2A Statement Of Holdings', 'Monitor Notifications Generated', and 'Monitor Notifications Generated'. The 'Monitor Notifications Generated' tab is active. The main area is titled 'Search Criteria : Statement Of Holdings Process'. It contains several search criteria fields: 'Internal Asset Account' with a dropdown menu, 'NCB Participant' with a dropdown menu and a search icon, 'NCB Participant Type' with a dropdown menu, 'Statement Id' with a dropdown menu, 'Statement Date' with a dropdown menu and a calendar icon, and 'Update Date' with a dropdown menu and a calendar icon. There is a 'Reset' button on the left and a 'Search' button on the right, which is highlighted with a red box. Below the search criteria, there are two sections: 'Latest Searches' and 'Stored Searches'. The 'Latest Searches' section has a table with columns 'Date' and 'Criteria'. The 'Stored Searches' section has a message: 'You have no stored search. To start customizing, launch a search and use the "Favorite" button to start your first search.'

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 ou ECMS ENTITY - U2A STATEMENTS READ ONLY - #81



# EXTRATO DE POSIÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

target

ECMS

Business Date 24/10/2024

PTCB

AEAC-W, PT-CEMG-CPTYADM/IN

U2A Statement Of Holdings

Monitor Notifications Generated

Monitor Notifications Generated

Search Criteria : Statement Of Holdings Process

Internal Asset Account ==

NCB Participant ==

NCB Participant Type ==

Statement Id ==

Statement Date ==

Update Date ==

Reset

Search

Search Result : Statement Of Holdings Process

10

Page 1 of 6

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| Internal Asset Account | NCB Participant | NCB Participant Type | Statement Id    | Statement Date | Process Status | Failure Reason | Report Frequency | Update Date             | Updater User Id            | Asset Category           |
|------------------------|-----------------|----------------------|-----------------|----------------|----------------|----------------|------------------|-------------------------|----------------------------|--------------------------|
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410232690027 | 23/10/2024     | Done           |                | Daily            | 23/10/2024 18:05:11:083 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410222680042 | 22/10/2024     | Done           |                | Daily            | 22/10/2024 18:02:13:199 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410212670028 | 21/10/2024     | Done           |                | Daily            | 21/10/2024 18:05:17:527 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410182660027 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:51:31:110 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410172650026 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:26:237 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410162640027 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:07:55:271 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410152630026 | 15/10/2024     | Done           |                | Daily            | 15/10/2024 18:06:41:556 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410142620029 | 14/10/2024     | Done           |                | Daily            | 14/10/2024 18:04:46:025 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410112610028 | 11/10/2024     | Done           |                | Daily            | 11/10/2024 14:55:50:723 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410102600028 | 10/10/2024     | Done           |                | Daily            | 10/10/2024 18:04:36:980 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |



# EXTRATO DE POSIÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

Extratos gerados por conta interna e enviados separadamente

| Internal Asset Account | NCB Participant | NCB Participant Type | Statement Id    | Statement Date | Process Status | Failure Reason | Report Frequency | Update Date             | Updater User Id            | Asset Category           |
|------------------------|-----------------|----------------------|-----------------|----------------|----------------|----------------|------------------|-------------------------|----------------------------|--------------------------|
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410232690027 | 23/10/2024     | Done           |                | Daily            | 23/10/2024 18:05:11:083 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410222680042 | 22/10/2024     | Done           |                | Daily            | 22/10/2024 18:02:13:199 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410212670028 | 21/10/2024     | Done           |                | Daily            | 21/10/2024 18:05:17:527 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410182660027 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:51:31:110 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410172650026 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:26:237 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410162640027 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:07:55:271 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410152630026 | 15/10/2024     | Done           |                | Daily            | 15/10/2024 18:06:41:556 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410142620029 | 14/10/2024     | Done           |                | Daily            | 14/10/2024 18:04:46:025 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410112610028 | 11/10/2024     | Done           |                | Daily            | 11/10/2024 14:55:50:723 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410102600028 | 10/10/2024     | Done           |                | Daily            | 10/10/2024 18:04:36:980 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |



# EXTRATO DE POSIÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

targetECMS

Business Date 24/10/2024

PTCB

AEAC-W, PT-CE%G-CPTYADMIN

U2A Statement Of Holdings

Monitor Notifications Generated

Monitor Notifications Generated

Search Criteria : Statement Of Holdings Process

Internal Asset Account ==

NCB Participant ==

NCB Participant Type ==

Statement Id ==

Statement Date ==

Update Date ==

Reset

Search

Search Result : Statement Of Holdings Process

10

Page 1 of 5

55

| Internal Asset Account | NCB Participant | NCB Participant Type | Statement Id    | Statement Date | Process Status | Failure Reason | Report Frequency | Update Date             | Updater User Id            | Asset Category           |
|------------------------|-----------------|----------------------|-----------------|----------------|----------------|----------------|------------------|-------------------------|----------------------------|--------------------------|
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410232690027 | 23/10/2024     | Done           |                | Daily            | 23/10/2024 18:05:11:083 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410222680042 | 22/10/2024     | Done           |                | Daily            | 22/10/2024 18:02:13:199 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410212670028 | 21/10/2024     | Done           |                | Daily            | 21/10/2024 18:05:17:527 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410182660027 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:51:31:110 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410172650026 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:26:237 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410162640027 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:07:55:271 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410152630026 | 15/10/2024     | Done           |                | Daily            | 15/10/2024 18:06:41:556 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410142620029 | 14/10/2024     | Done           |                | Daily            | 14/10/2024 18:04:46:025 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410112610028 | 11/10/2024     | Done           |                | Daily            | 11/10/2024 14:55:50:723 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410102600028 | 10/10/2024     | Done           |                | Daily            | 10/10/2024 18:04:36:980 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |

View



# EXTRATO DE POSIÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

The screenshot shows the Target ECMS interface. The top navigation bar includes the Target ECMS logo, the business date (24/10/2024), and user information (PTCB, AEAC-W, PT-CEHG-CPTYADMIN). The main content area is titled 'Monitor Notifications Generated'. Below this, there is a search criteria section for 'Statement Of Holdings Process'. The search results section shows a single result with the following details:

| Statement Id    | Process Type | Position Basis  |
|-----------------|--------------|-----------------|
| SH2410232690027 | Real         | Settlement Date |

| Internal Asset Account | NCB Participant | NCB Participant Type |
|------------------------|-----------------|----------------------|
| PT36MA001              | PT36            | COUNTERPARTIES       |

| Process Date | Report Frequency | Description |
|--------------|------------------|-------------|
| 23/10/2024   | Daily            | A2A and U2A |

| Failure Reason | Notifications     | Detailed Positions     |
|----------------|-------------------|------------------------|
|                | Notifications [0] | Detailed Positions [3] |

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 ou ECMS ENTITY - U2A STATEMENTS READ ONLY - #81



# EXTRATO DE POSIÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

target services | ECMS

Business Date 24/10/2024

PTCB

AEAC-W, PT-CEMG-CPTYADMIN

U2A Statement Of Holdings

Monitor Notifications Generated

Search Criteria : Statement Of Holdings Process

Search Result : Statement Of Holdings Process > View : Statement Of Holdings Process

Statement Id  
SH2410232690027

Process Type  
Real

Position Basis  
Settlement Date

Internal Asset Account  
PT36MA001

NCB Participant  
PT36

NCB Participant Type  
COUNTERPARTIES

Process Date  
23/10/2024

Report Frequency  
Daily

Description  
A2A and U2A

Failure Reason

Notifications  
Notifications [0]

Detailed Positions  
Detailed Positions [3]

View : Client Holding Statement

10

3

| Statement Id    | Statement Date | Internal Asset Account | NCB Participant | Instrument Id | Amount    | Denominat | Collateral Valuation | Issuer CSD      | NCB Custodian   | Update Date             | Updater User Id         |
|-----------------|----------------|------------------------|-----------------|---------------|-----------|-----------|----------------------|-----------------|-----------------|-------------------------|-------------------------|
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | IT0001444378  | 1,000,000 | EUR       | 1,091,828.3481       | IT0000139338170 | IT0000125236019 | 23/10/2024 18:05:10:355 | ECMSOperationalDaySc... |
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | PTOTEPOE0032  | 8,000,000 | EUR       | 5,639,305.1536       | PT502962275     | PT502962275     | 23/10/2024 18:05:10:355 | ECMSOperationalDaySc... |
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | ES0413320120  | 1,000,000 | EUR       | 1,020,892.31937      | ESA82695677     | ES9000          | 23/10/2024 18:05:10:355 | ECMSOperationalDaySc... |



# EXTRATO DE POSIÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

target

services

ECMS

Business Date 24/10/2024

PTCB

AEAC-W, PT-CEHG-CPTYADMIN

LQA Statements Of Holdings

Monitor Notifications Generated

Search Criteria : Statement Of Holdings Process

Search Result : Statement Of Holdings Process > View : Statement Of Holdings Process

Statement Id

SH2410232690027

Process Type

Real

Position Basis

Settlement Date

Internal Asset Account

NCB Participant

NCB Participant Type

| A | B               | C              | D                      | E               | F             | G                   | H                     | I                             | J               | K               | L                       |                             |
|---|-----------------|----------------|------------------------|-----------------|---------------|---------------------|-----------------------|-------------------------------|-----------------|-----------------|-------------------------|-----------------------------|
| 1 | Statement Id    | Statement Date | Internal Asset Account | NCB Participant | Instrument Id | Amount              | Denomination Currency | Collateral Valuation          | Issuer CSD      | NCB Custodian   | Update Date             | Updater User Id             |
| 2 | SH2410232690027 | 23-10-2024     | PT36MA001              | PT36            | IT0001444378  | 1 000 000.000000000 | EUR                   | 1 091 828.3481000000000000000 | IT0000139338170 | IT0000125236019 | 23-10-2024 18:05:10.355 | ECMSOperationalDayScheduler |
| 3 | SH2410232690027 | 23-10-2024     | PT36MA001              | PT36            | PTOTEPOE0032  | 8 000 000.000000000 | EUR                   | 5 639 305.1536000000000000000 | PT502962275     | PT502962275     | 23-10-2024 18:05:10.355 | ECMSOperationalDayScheduler |
| 4 | SH2410232690027 | 23-10-2024     | PT36MA001              | PT36            | ES0413320120  | 1 000 000.000000000 | EUR                   | 1 020 892.3193700000000000000 | ESA82695677     | ES9000          | 23-10-2024 18:05:10.355 | ECMSOperationalDayScheduler |
| 5 |                 |                |                        |                 |               |                     |                       |                               |                 |                 |                         |                             |

Notifications [0]

Detailed Positions [3]

View : Client Holding Statement

10

| Statement Id    | Statement Date | Internal Asset Account | NCB Participant | Instrument Id | Amount    | Denomina | Collateral Valuation | Issuer CSD      | NCB Custodian   | Update Date             | Updater User Id         |
|-----------------|----------------|------------------------|-----------------|---------------|-----------|----------|----------------------|-----------------|-----------------|-------------------------|-------------------------|
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | IT0001444378  | 1,000,000 | EUR      | 1,091,828.3481       | IT0000139338170 | IT0000125236019 | 23/10/2024 18:05:10:355 | ECMSOperationalDaySc... |
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | PTOTEPOE0032  | 8,000,000 | EUR      | 5,639,305.1536       | PT502962275     | PT502962275     | 23/10/2024 18:05:10:355 | ECMSOperationalDaySc... |
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | ES0413320120  | 1,000,000 | EUR      | 1,020,892.31937      | ESA82695677     | ES9000          | 23/10/2024 18:05:10:355 | ECMSOperationalDaySc... |



# EXTRATO DE POSIÇÕES

## B CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Reports* > *U2A Statement of Holdings*

The screenshot shows the 'U2A Statement Of Holdings' search page. The header includes the 'target ECMS' logo, the business date '21/10/2024', and user information 'PTCB' and 'AEAC-W, PT-CGD-CPTYADMIN'. The search criteria section is titled 'Search Criteria : Client Holding Statement' and contains three input fields: 'Statement Date' with a calendar icon, 'Internal Asset Account' with a dropdown menu, and 'NCB Participant' with a dropdown menu. A 'Reset' button is on the left, and a 'Search' button is on the right, highlighted with a red box. Below the search criteria, there are two sections: 'Latest Searches' with a table header 'Date' and 'Criteria', and 'Stored Searches' with a message: 'You have no stored search. To start customizing, launch a search and use the "Favorite" button to start your first search.'

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 ou ECMS ENTITY - U2A STATEMENTS READ ONLY - #81



# EXTRATO DE POSIÇÕES

## B CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Reports* > *U2A Statement of Holdings*

target services | ECMS

Business Date 24/10/2024

PTCB

AEAC-W, PT-CEMG-CPTYADMIN

U2A Statement Of Holdings

Monitor Notifications Generated

Search Criteria : Client Holding Statement

Statement Date : 23/10/2024

Internal Asset Account ==

NCB Participant ==

NCB Participant Type ==

Reset

Search

Search Result : Client Holding Statement

10

| Statement Id    | Statement Date | Internal Asset Account | NCB Participant | NCB Participant Ty | Instrument Id | Amount    | Denomination Curr | Collateral Valuation | Issuer CSD      | Update Date            | Updater User Id       | NCB Custodian   | Statement Basis |
|-----------------|----------------|------------------------|-----------------|--------------------|---------------|-----------|-------------------|----------------------|-----------------|------------------------|-----------------------|-----------------|-----------------|
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | COUNTERPARTIES     | PTOTEPOE0032  | 8,000,000 | EUR               | 5,639,305.1536       | PT502962275     | 23/10/2024 18:05:10... | ECMSOperationalDay... | PT502962275     | SETT            |
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | COUNTERPARTIES     | IT0001444378  | 1,000,000 | EUR               | 1,091,828.3481       | IT0000139338170 | 23/10/2024 18:05:10... | ECMSOperationalDay... | IT0000125236019 | SETT            |
| SH2410232690027 | 23/10/2024     | PT36MA001              | PT36            | COUNTERPARTIES     | ES0413320120  | 1,000,000 | EUR               | 1,020,892.31937      | ESA82695677     | 23/10/2024 18:05:10... | ECMSOperationalDay... | ES9000          | SETT            |

Export Report CsvExport Report ExcelExport Report Xml

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 ou ECMS ENTITY - U2A STATEMENTS READ ONLY - #81



# EXTRATO DE POSIÇÕES

## B CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Reports* > *U2A Statement of Holdings*

target services | ECMS

Business Date 24/10/2024

PTCB

AEAC-W, PT-CEMG-CPTYADMIN

U2A Statement Of Holdings

Monitor Notifications Generated

Search Criteria : Client Holding Statement

Statement Date : 23/10/2024

Internal Asset Account

NCB Participant

NCB Participant Type

Reset

Search

Search Result : Client Holding Statement

10

| Statement Id    | Statement Date | Internal Asset Acco | NCB Participant | NCB Participant Ty | Instrument Id | Amount    | Denomination Curre | Collateral Valuation | Issuer CSD      | Update Date            | Updater User Id       | NCB Custodian   | Statement Basis |
|-----------------|----------------|---------------------|-----------------|--------------------|---------------|-----------|--------------------|----------------------|-----------------|------------------------|-----------------------|-----------------|-----------------|
| SH2410232690027 | 23/10/2024     | PT36MA001           | PT36            | COUNTERPARTIES     | PTOTEP0E0032  | 8,000,000 | EUR                | 5,639,305.1536       | PT502962275     | 23/10/2024 18:05:10... | ECMSOperationalDay... | PT502962275     | SETT            |
| SH2410232690027 | 23/10/2024     | PT36MA001           | PT36            | COUNTERPARTIES     | IT0001444378  | 1,000,000 | EUR                | 1,091,828.3481       | IT0000139338170 | 23/10/2024 18:05:10... | ECMSOperationalDay... | IT0000125236019 | SETT            |
| SH2410232690027 | 23/10/2024     | PT36MA001           | PT36            | COUNTERPARTIES     | ES0413320120  | 1,000,000 | EUR                | 1,020,892.31937      | ESA82695677     | 23/10/2024 18:05:10... | ECMSOperationalDay... | ES9000          | SETT            |

Export Report Csv

Export Report Excel

Export Report Xml

View

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 ou ECMS ENTITY - U2A STATEMENTS READ ONLY - #81



# EXTRATO DE POSIÇÕES

## CONSULTAR O EXTRATO GERADO:

A

target **ECMS** Business Date 21/10/2024

Search Criteria : Statement Of Holdings Process

Internal Asset Account: PT36 NCB Participant: PT36 NCB Participant Type: COUNTERPARTIES

Statement Id: SH2410222690027 Statement Date: 23/10/2024 Update Date: 23/10/2024

Reset Search

Search Result : Statement Of Holdings Process

Page 1 of 10

| Internal Asset Account | NCB Participant | NCB Participant Type | Statement Id    | Statement Date | Process Status | Failure Reason | Report Frequency | Update Date         | Update User Id          | Asset Category         |
|------------------------|-----------------|----------------------|-----------------|----------------|----------------|----------------|------------------|---------------------|-------------------------|------------------------|
| PT36MA001RPPSC         | PT36            | COUNTERPARTIES       | SH2410222690045 | 23/10/2024     | Done           |                | Daily            | 21/10/2024 18:06:19 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410222690036 | 23/10/2024     | Done           |                | Daily            | 21/10/2024 18:06:19 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001RPPSC         | PT36            | COUNTERPARTIES       | SH2410222690026 | 18/10/2024     | Done           |                | Daily            | 21/10/2024 17:35:14 | P5CGD-CPYADMN           | MARKETABLE ASSETS ACC. |
| PT36MA001RPPSC         | PT36            | COUNTERPARTIES       | SH2410222690044 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:51:32 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410222690032 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:51:31 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001RPPSC         | PT36            | COUNTERPARTIES       | SH2410222690044 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:26 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410222690030 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:26 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001RPPSC         | PT36            | COUNTERPARTIES       | SH2410222690044 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:07:56 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001              | PT36            | COUNTERPARTIES       | SH2410222690034 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:07:55 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |
| PT36MA001RPPSC         | PT36            | COUNTERPARTIES       | SH2410222690043 | 15/10/2024     | Done           |                | Daily            | 15/10/2024 18:06:41 | ECMSOperationalDaySched | MARKETABLE ASSETS ACC. |

B

target **ECMS** Business Date 24/10/2024

Search Criteria : Client Holding Statement

Statement Date: 23/10/2024 Internal Asset Account: PT36 NCB Participant: PT36 NCB Participant Type: COUNTERPARTIES

Reset Search

Search Result : Client Holding Statement

| Statement Id    | Statement Date | Internal Asset Account | NCB Participant | NCB Participant Ty | Instrument Id | Amount    | Denomination Curr. | Collateral Valuation | Issuer CSD     | Update Date | Update User Id | NCB                   | Association    | Statement Basis |
|-----------------|----------------|------------------------|-----------------|--------------------|---------------|-----------|--------------------|----------------------|----------------|-------------|----------------|-----------------------|----------------|-----------------|
| SH2410222690027 | 23/10/2024     | PT36MA001              | PT36            | COUNTERPARTIES     | PT36EPOE0032  | 8,000,000 | EUR                | 5,039,395.1536       | PT36E2962275   | 23/10/2024  | 15.10...       | ECMSOperationalDay... | PT36E2275      | SETT            |
| SH2410222690027 | 23/10/2024     | PT36MA001              | PT36            | COUNTERPARTIES     | IT0001444378  | 1,000,000 | EUR                | 1,091,828.3481       | IT000013938172 | 23/10/2024  | 15.10...       | ECMSOperationalDay... | IT000125286119 | SETT            |
| SH2410222690027 | 23/10/2024     | PT36MA001              | PT36            | COUNTERPARTIES     | ES0413328120  | 1,000,000 | EUR                | 1,020,892.31937      | ESAR2685677    | 23/10/2024  | 15.10...       | ECMSOperationalDay... | E1000          | SETT            |

Export Report Csv Export Report Excel Export Report Pdf

É possível determinar pelo utilizador se o pedido foi gerado (i) automaticamente, (ii) em resposta a admi.005 ou (iii) por pedido via ecrã.



# EXTRATO DE POSIÇÕES

## GERAR EXTRATO VIA ECRÃ:

- Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Generate Statement of Holdings*

The screenshot shows the 'Generate Statement of Holdings' interface. At the top, there's a purple header with the 'target ECMS' logo, the business date '21/10/2024', and user information 'PTCB' and 'AEAC-W, PT-CGD-CPTYADMIN'. Below the header, there's a navigation bar with tabs: 'U2A Statement Of Holdings', 'U2A Official SoH', 'File Channel Configuration', and 'Generate Statement of Holdings'. The main content area is titled 'StatementProcessUseCase : Statement Of Holdings Process' and includes a 'Run Statement Process' button. It features two sections: 'PROCESSED FOR' with a search bar containing 'Internal Asset Account', and 'NOTIFICATION PARAMETERS' with a 'Statement Date' field set to '21/10/2024'. A 'Reset' button is located at the bottom left.

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80



# EXTRATO DE POSIÇÕES

## GERAR EXTRATO VIA ECRÃ:

- Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Generate Statement of Holdings*

The screenshot displays the 'Generate Statement of Holdings' process in the target ECMS system. The interface includes a search bar for 'Internal Asset Account' and a table of search results. A red arrow points from the search bar to the 'Search Result: Internal Asset Account Ref' section.

**StatementProcessUseCase : Statement Of Holdings Process**

**PROCESSED FOR**

Internal Asset Account

**NOTIFICATION PARAMETERS**

Statement Date  
21/10/2024

**Search Result: Internal Asset Account Ref**

| Account Owner | Account Reference | Account Name                                        |
|---------------|-------------------|-----------------------------------------------------|
| PT35          | PT35MA001         | PT35 MA Account 001                                 |
| PT35          | PT35MA001RPSC     | PT35 MA Account for Retail Payment System Guarantee |



# EXTRATO DE POSIÇÕES

## GERAR EXTRATO VIA ECRÃ:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Generate Statement of Holdings*

target services | ECMS

Business Date 21/10/2024

PTCB

AEAC-W, PT-CGD-CPTYADMIN

U2A Statement Of Holdings

U2A Official Soli

File Channel Configuration

Generate Statement of Holdings

StatementProcessUseCase : Statement Of Holdings Process

Run Statement Process

PROCESSED FOR

Internal Asset Account

NOTIFICATION PARAMETERS

Statement Date

21/10/2024

Reset

Search Result: Internal Asset Account Ref

10

Account Owner

Account Reference

Account Name

PT35

PT35MA001

PT35 MA Account 001

PT35

PT35MA001RPSC

PT35 MA Account for Retail Payment System Guarantee

Select



# EXTRATO DE POSIÇÕES

## GERAR EXTRATO VIA ECRÃ:

- Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Generate Statement of Holdings*

target ECMS

Business Date 21/10/2024

PTCB

AEAC-W, PT-CGD-CPTYADMIN

U2A Statement Of Holdings

U2A Official SoH

File Channel Configuration

Generate Statement of Holdings

StatementProcessUseCase : Statement Of Holdings Process

Run Statement Process

PROCESSED FOR

Internal Asset Account

PT35MA001RPSC

NOTIFICATION PARAMETERS

Statement Date

18/10/2024

Reset

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80



# EXTRATO DE POSIÇÕES

## GERAR EXTRATO VIA ECRÃ:

- Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Generate Statement of Holdings*

The screenshot displays the 'Generate Statement of Holdings' interface. The top navigation bar includes the 'target services ECMS' logo, the business date '21/10/2024', and user information 'PTCB' and 'AEAC-W, PT-CGD-CPTYADMIN'. The main content area is divided into sections: 'NOTIFICATION STATUS', 'PROCESSED FOR', 'NOTIFICATION PARAMETERS', and another 'NOTIFICATION STATUS' section. The 'PROCESSED FOR' section shows 'Internal Asset Account' as 'PT35MA001RPSC'. The 'NOTIFICATION PARAMETERS' section includes 'Statement Date' (18/10/2024), 'Statement Basis' (SETT), and 'Report Frequency' (Daily). The bottom 'NOTIFICATION STATUS' section shows 'Process Status' as 'Done' and 'Failure Reason' as an empty field. A red box highlights the 'Process Status' and 'Failure Reason' fields. At the bottom left, there is a 'Notifications' section with a button labeled 'Notifications [0]'.



# EXTRATO DE POSIÇÕES

## GERAR EXTRATO VIA ECRÃ (CONSULTAR O EXTRATO GERADO):

- Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated* <sup>A</sup>

target

ECMS

Business Date 21/10/2024

PTCB

AEAC-W, PT-CGD-CPTYADMIN

UZA Statement Of Holdings

UZA Official SoH

File Channel Configuration

Generate Statement of Holdings

Monitor Notifications Generated

Search Criteria : Statement Of Holdings Process

Internal Asset Account ==

NCB Participant ==

Statement Id ==

NCB Participant Type ==

Statement Date ==

Update Date ==

Reset

Search

Search Result : Statement Of Holdings Process

10

Page 1 of 10

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| Internal Asset Account | NCB Participant | NCB Participant Type | Statement Id    | Statement Date | Process Status | Failure Reason | Report Frequency | Update Date             | Updater User Id            | Asset Category           |
|------------------------|-----------------|----------------------|-----------------|----------------|----------------|----------------|------------------|-------------------------|----------------------------|--------------------------|
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | SH2410212670026 | 18/10/2024     | Done           |                | Daily            | 21/10/2024 17:26:14:094 | PT-CGD-CPTYADMIN           | MARKETABLE ASSETS ACC... |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | SH2410182660044 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:51:32:552 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001              | PT35            | COUNTERPARTIES       | SH2410182660032 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:51:31:434 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | SH2410172650044 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:26:793 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001              | PT35            | COUNTERPARTIES       | SH2410172650030 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:26:230 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | SH2410162640044 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:07:56:108 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001              | PT35            | COUNTERPARTIES       | SH2410162640034 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:07:55:273 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | SH2410152630043 | 15/10/2024     | Done           |                | Daily            | 15/10/2024 18:06:41:498 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001              | PT35            | COUNTERPARTIES       | SH2410152630031 | 15/10/2024     | Done           |                | Daily            | 15/10/2024 18:06:41:299 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | SH2410142620043 | 14/10/2024     | Done           |                | Daily            | 14/10/2024 18:04:49:424 | ECMSOperationalDaySched... | MARKETABLE ASSETS ACC... |



# EXTRATO DE POSIÇÕES

## ➔ CASO DE TESTE RELEVANTE

| Test case ID    | Domain                | Functionality | Test case name                                        |
|-----------------|-----------------------|---------------|-------------------------------------------------------|
| ECMS_TC_CPTY_22 | Collateral management | Reports       | Successful processing of statement of holding request |

FONTE: [ECMS user testing - fundamental test cases](#)

### TESTE U2A

- Aceder ao menu:** *Reference Data > Statements & Messages > Statement of Holdings > Generate Statement of Holdings*
- Selecionar a conta e **clicar em Run Statement Process**
- Consultar o extrato gerado** através de um dos menus:
  - Reference Data > Statements & Messages > Statement of Holdings > Monitor Notifications Generated*
  - Reference Data > Reports > U2A Statement of Holdings*

### TESTE A2A

- Enviar ReportQueryRequest (admi.005):** o utilizador A2A deverá ter o role ECMS ENTITY - A2A REPORTING (ADMI.005) EXECUTION
- Validações OK:** ECMS envia *SecuritiesBalanceCustodyReport* (semt.002)  
**Validações NOK:** ECMS envia *ReceiptAcknowledgement* (admi.007)



# EXTRATO DE POSIÇÕES

## RESUMO:

1

### Gerar extrato via ecrã

Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Generate Statement of Holdings*

**Roles:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80

2

### Consultar o extrato gerado (pedido via ecrã ou admi.005):

Módulo *Reference Data* > *Statements & Messages* > *Statement of Holdings* > *Monitor Notifications Generated*

Módulo *Reference Data* > *Reports* > *U2A Statement of Holdings*

**Roles:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 / READ ONLY - #81

# EXTRATO DE TRANSAÇÕES

- 05.1 Consulta U2A vs A2A
- 05.2 Consultar a subscrição
- 05.3 Consultar o extrato gerado
- 05.4 Gerar extrato via ecrã
- 05.5 Caso de teste relevante



## REPORTE A2A

### Subscrição / Pull mode

```

<Response>
  <AppHdr xmlns="urn:iso:std:iso:20022:tech:xsd:head.001.001.01">
    <Fr>
      <FIId>
        <FinInstnId>
          <BICFI>TRGTXETTECH</BICFI>
          <Othr>
            <Id>BGALPTTGXXX</Id>
          </Othr>
        </FinInstnId>
      </FIId>
    </Fr>
    <To>
      <FIId>
        <FinInstnId>
          <BICFI>CGDIPTPLXXX</BICFI>
          <Othr>
            <Id>BGALPTTGXXX</Id>
          </Othr>
        </FinInstnId>
      </FIId>
    </To>
    <BizMsgId>2600001002</BizMsgId>
    <MsgDefId>semt.017.001.09</MsgDefId>
    <CreDt>2024-10-24T06:36:07Z</CreDt>
  </AppHdr>
</Document xmlns="urn:iso:std:iso:20022:tech:xsd:semt.017.001.09">
  <SciesTxPstngRpt>
    <Pgntn>
      <PgNb>1</PgNb>
      <LastPgInd>true</LastPgInd>
    </Pgntn>
    <StmntGnlDtls>
      <StmntId>ST2410242690047</StmntId>
      <StmntPrd>
        <FrDtTmToDtTm>
          <FrDtTm>2024-10-21T00:00:00Z</FrDtTm>
          <ToDtTm>2024-10-23T23:59:59Z</ToDtTm>
        </FrDtTmToDtTm>
      </StmntPrd>
      <Frqcy>
        <Cd>DAIL</Cd>
      </Frqcy>

```

SESSÃO DE FORMAÇÃO SOBRE O ECMS

O U T U B R O 2 0 2 4



# EXTRATO DE TRANSAÇÕES

## CONSULTAR A SUBSCRIÇÃO:

Para conhecimento

Ecrã não disponível para as instituições

Search Result : Statement Of Transaction Periodi Config

10

| NCB Participant Type | NCB Participant | Internal Asset Account | Statement Subscription Type | Start Date | Frequency |
|----------------------|-----------------|------------------------|-----------------------------|------------|-----------|
| COUNTERPARTIES       | ....            | ....                   | A2A and U2A                 | 24/10/2024 | Daily     |
| CROSS NCBs           | ....            | ....                   | A2A and U2A                 | 23/09/2024 | Daily     |

Níveis de granularidade da subscrição



# EXTRATO DE TRANSAÇÕES

## CONSULTAR O EXTRATO GERADO:

A. Módulo *Reference Data* > *Statements & Messages* > *Statement of Transactions* > *Monitor Notifications Generated*

Search Criteria : Statement Of Transaction Process

Internal Asset Account: == NCB Participant: == NCB Participant Type: == Statement id: == Statement Date: ==

Search Result : Statement Of Transaction Process > View : Statement Of Transaction Process

| Internal Asse... | NCB Particip... | NCB Particip... | Statement id   | Statement D... | Process Stat... | Failure Reas... | Report Frequ... | Update Date     | Updater User... |
|------------------|-----------------|-----------------|----------------|----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241023267    | 23/10/2024     | Done            |                 | Daily           | 23/10/2024 1... | ECMSOperati...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241022266... | 22/10/2024     | Done            |                 | Daily           | 22/10/2024 1... | ECMSOperati...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241021265... | 21/10/2024     | Done            |                 | Daily           | 21/10/2024 1... | ECMSOperati...  |
| PT35MA001        | PT35            | COUNTERPAR...   | ST241021264... | 21/10/2024     | Done            |                 | Daily           | 21/10/2024 1... | PT-CGD-CPTY...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241018263... | 18/10/2024     | Done            |                 | Daily           | 18/10/2024 1... | ECMSOperati...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241017262... | 17/10/2024     | Done            |                 | Daily           | 17/10/2024 1... | ECMSOperati...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241016261... | 16/10/2024     | Done            |                 | Daily           | 16/10/2024 1... | ECMSOperati...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241015260... | 15/10/2024     | Done            |                 | Daily           | 15/10/2024 1... | ECMSOperati...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241014259... | 14/10/2024     | Done            |                 | Daily           | 14/10/2024 1... | ECMSOperati...  |
| PT35MA001R...    | PT35            | COUNTERPAR...   | ST241011258... | 11/10/2024     | Done            |                 | Daily           | 11/10/2024 1... | ECMSOperati...  |

B. Módulo *Reference Data* > *Reports* > *U2A Statement of Transactions*

Search : U2A Statement Of Transactions

Internal Asset Account: == NCB Participant: == NCB Participant Type: ==

Start Date: == End Date: == Settlement Type: ==

Intended Settlement Date: ==

Search : U2A Statement Of Transactions

| Account... | ECMS L... | Quantit...  | Currenc... | Effectiv... | ISIN      | Asset N...  | Statem... | Report ... | Start Da... | End Dat... | Update ... | Settle... | Intende... |
|------------|-----------|-------------|------------|-------------|-----------|-------------|-----------|------------|-------------|------------|------------|-----------|------------|
| PT35MA...  | CGDIPT... | 4,000,00... | EUR        | 12/09/2...  | PTOTEO... | OT - 17 ... | ST2409... | Daily      | 12/09/2...  | 12/09/2... | 12/09/2... | RECE      | 12/09/2... |
| PT35MA...  | CGDIPT... | 2,500,00... | EUR        | 12/09/2...  | AT0000... | Bundesa...  | ST2409... | Daily      | 12/09/2...  | 12/09/2... | 12/09/2... | RECE      | 12/09/2... |
| PT35MA...  | CGDIPT... | 3,000,00... | EUR        | 21/08/2...  | PTOTEO... | OT - 17 ... | ST2408... | Daily      | 21/08/2...  | 21/08/2... | 21/08/2... | RECE      | 21/08/2... |

Export Report Csv Export Report Excel Export Report Xml



# EXTRATO DE TRANSAÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Transactions* > *Monitor Notifications Generated*

target ECMS

Business Date 21/10/2024

PTCB

AEAC-W, PT-CGD-CPTYADMIN

UZA Statement Of Holdings

UZA Official SoH

File Channel Configuration

Generate Statement of Holdings

Monitor Notifications Generated

Generate Statement of Transactions

Monitor Notifications generated

Search Criteria : Statement Of Transaction Process

Internal Asset Account

NCB Participant

NCB Participant Type

Statement id

Statement Date

Reset

Search

Search Result : Statement Of Transaction Process

10

Page 1 of 5

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| Internal Asset Account | NCB Participant | NCB Participant Type | Statement id    | Statement Date | Process Status | Failure Reason | Report Frequency | Update Date             | Updater User Id             |
|------------------------|-----------------|----------------------|-----------------|----------------|----------------|----------------|------------------|-------------------------|-----------------------------|
| PT35MA001              | PT35            | COUNTERPARTIES       | ST2410212640018 | 21/10/2024     | Done           |                | Daily            | 21/10/2024 17:43:50.443 | PT-CGD-CPTYADMIN            |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410182630019 | 18/10/2024     | Done           |                | Daily            | 18/10/2024 14:50:53.549 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410172620019 | 17/10/2024     | Done           |                | Daily            | 17/10/2024 18:05:35.075 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410162610019 | 16/10/2024     | Done           |                | Daily            | 16/10/2024 18:08:17.478 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410152600019 | 15/10/2024     | Done           |                | Daily            | 15/10/2024 18:06:18.140 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410142590019 | 14/10/2024     | Done           |                | Daily            | 14/10/2024 18:04:53.781 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410112580019 | 11/10/2024     | Done           |                | Daily            | 11/10/2024 14:55:30.148 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410102570019 | 10/10/2024     | Done           |                | Daily            | 10/10/2024 18:04:40.757 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410092560019 | 09/10/2024     | Done           |                | Daily            | 09/10/2024 18:05:04.344 | ECMSOperationalDayScheduler |
| PT35MA001RPSC          | PT35            | COUNTERPARTIES       | ST2410082550019 | 08/10/2024     | Done           |                | Daily            | 08/10/2024 18:00:45.885 | ECMSOperationalDayScheduler |



# EXTRATO DE TRANSAÇÕES

## A CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Transactions* > *Monitor Notifications Generated*

target

ECMS

Business Date 21/10/2024

PTCB

AEAC-W, PT-CGD-CPTYADMIN

UZA Statement Of Holdings

UZA Official SoH

File Channel Configuration

Generate Statement of Holdings

Monitor Notifications Generated

Generate Statement of Transactions

Monitor Notifications generated

Search Criteria : Statement Of Transaction Process

Search Result : Statement Of Transaction Process > View : Statement Of Transaction Process

Statement id

ST2410232670019

Statement Date

23/10/2024

Process Type

Real

NCB Participant Type

COUNTERPARTIES

Internal Asset Account

PT35MA001RPSC

NCB Participant

PT35

Start Date

23/10/2024

End Date

23/10/2024

Report Frequency

Daily

Failure Reason

Notifications

Notifications [0]

FootPrint

|               |      |                |                 |            |      |  |       |                         |                             |
|---------------|------|----------------|-----------------|------------|------|--|-------|-------------------------|-----------------------------|
| PT35MA001RPSC | PT35 | COUNTERPARTIES | ST2410112580019 | 11/10/2024 | Done |  | Daily | 11/10/2024 14:55:30:148 | ECMSOperationalDayScheduler |
| PT35MA001RPSC | PT35 | COUNTERPARTIES | ST2410102570019 | 10/10/2024 | Done |  | Daily | 10/10/2024 18:04:40:757 | ECMSOperationalDayScheduler |
| PT35MA001RPSC | PT35 | COUNTERPARTIES | ST2410092560019 | 09/10/2024 | Done |  | Daily | 09/10/2024 18:05:04:344 | ECMSOperationalDayScheduler |
| PT35MA001RPSC | PT35 | COUNTERPARTIES | ST2410082550019 | 08/10/2024 | Done |  | Daily | 08/10/2024 18:00:45:885 | ECMSOperationalDayScheduler |

Neste ecrã não acedemos ao detalhe do extrato!



# EXTRATO DE TRANSAÇÕES

## B CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Reports* > *U2A Statement of Transactions*

Pesquisa livre

target ECMS

Business Date 24/10/2024

PTCB

U2A Statement Of Transactions

Search : U2A Statement Of Transactions

Internal Asset Account ==

NCB Participant ==

NCB Participant Type ==

Start Date ==

End Date ==

Settlement Type ==

Intended Settlement Date ==

Reset

Search

Latest Searches

| Date | Criteria |
|------|----------|
|------|----------|

Stored Searches

You have no stored search.  
To start customizing, launch a search and use the "Favorite" button to start your first search.

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 ou ECMS ENTITY - U2A STATEMENTS READ ONLY - #81



# EXTRATO DE TRANSAÇÕES

## B CONSULTAR O EXTRATO GERADO:

➤ Módulo *Reference Data* > *Reports* > *U2A Statement of Transactions*

Indicador de mobilização ou desmobilização

Search : U2A Statement Of Transactions

Internal Asset Account == NCB Participant == NCB Participant Type ==

Start Date == End Date == Settlement Type ==

Intended Settlement Date ==

Reset Search

Search : U2A Statement Of Transactions

|  | Account Reference | ECMS Internal Ass | Quantity  | Currency | Effective Settlement | ISIN         | Asset Name       | Statement id    | Report Frequency | Start Date | End Date   | Update Date         | Settlement Type | Intended Settlement |
|--|-------------------|-------------------|-----------|----------|----------------------|--------------|------------------|-----------------|------------------|------------|------------|---------------------|-----------------|---------------------|
|  | PT35MA001RPSC     | CGDIPTPLXXX       | 4,000,000 | EUR      | 12/09/2024           | PTOTE00E0033 | OT - 17 OUT 2031 | ST2409122380019 | Daily            | 12/09/2024 | 12/09/2024 | 12/09/2024 18:00:53 | RECE            | 12/09/2024          |
|  | PT35MA001RPSC     | CGDIPTPLXXX       | 2,500,000 | EUR      | 12/09/2024           | AT0000A1K9C8 | Bundesani16-26/1 | ST2409122380019 | Daily            | 12/09/2024 | 12/09/2024 | 12/09/2024 18:00:53 | RECE            | 12/09/2024          |
|  | PT35MA001RPSC     | CGDIPTPLXXX       | 3,000,000 | EUR      | 21/08/2024           | PTOTE00E0033 | OT - 17 OUT 2031 | ST2408212220019 | Daily            | 21/08/2024 | 21/08/2024 | 21/08/2024 18:00:52 | RECE            | 21/08/2024          |

Export Report Csv Export Report Excel Export Report Xml

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 ou ECMS ENTITY - U2A STATEMENTS READ ONLY - #81



# EXTRATO DE TRANSAÇÕES

## GERAR EXTRATO VIA ECRÃ:

➤ Módulo *Reference Data* > *Statements & Messages* > *Statement of Transactions* > *Generate Statement of Transactions*

The screenshot shows the 'Generate Statement of Transactions' interface. At the top, there is a purple header bar with the 'target ECMS' logo, the business date '21/10/2024', and user information 'PTCB' and 'AEAC-W, PT-CGD-CPTYADMIN'. Below the header, a navigation bar contains several tabs: 'U2A Statement Of Holdings', 'U2A Official SoH', 'File Channel Configuration', 'Generate Statement of Holdings', 'Monitor Notifications Generated', and 'Generate Statement of Transactions' (which is currently selected). The main content area is titled 'StatementProcessUseCase : Statement Of Transaction Process' and includes a 'Run Statement Process' button. It is divided into two sections: 'PROCESSED FOR' and 'NOTIFICATION PARAMETERS'. The 'PROCESSED FOR' section has a text input field labeled 'Internal Asset Account' with a search icon. The 'NOTIFICATION PARAMETERS' section contains three date pickers: 'Statement Date' (set to 21/10/2024), 'Start Date', and 'End Date'. A 'Reset' button is located at the bottom left of the form.

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80



# EXTRATO DE TRANSAÇÕES

## GERAR EXTRATO VIA ECRÃ:

- Módulo *Reference Data* > *Statements & Messages* > *Statement of Transactions* > *Generate Statement of Transactions*

target ECMS

Business Date 21/10/2024

PTCB

AEAC-W, PT-CGD-CPTYADMIN

U2A Statement Of Holdings

U2A Official SoH

File Channel Configuration

Generate Statement of Holdings

Monitor Notifications Generated

Generate Statement of Transactions

StatementProcessUseCase : Statement Of Transaction Process

Run Statement Process

PROCESSED FOR

Internal Asset Account

PT35MA001

NOTIFICATION PARAMETERS

Statement Date

21/10/2024

Start Date

15/10/2024

End Date

18/10/2024

Reset

**Roles necessários:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80



# EXTRATO DE TRANSAÇÕES

## ➔ CASO DE TESTE RELEVANTE

| Test case ID    | Domain                | Functionality | Test case name                                             |
|-----------------|-----------------------|---------------|------------------------------------------------------------|
| ECMS_TC_CPTY_23 | Collateral management | Reports       | Successful processing of statement of transactions request |

FONTE: [ECMS user testing - fundamental test cases](#)

### TESTE U2A

- Aceder ao menu:** *Reference Data > Statements & Messages > Statement of Transactions > Generate Statement of Transactions*
- Selecionar a conta e o intervalo de datas e **clicar em Run Statement Process**
- Consultar o extrato gerado** através de um dos menus:
  - Reference Data > Statements & Messages > Statement of Transactions > Monitor Notifications Generated*
  - Reference Data > Reports > U2A Statement of Transactions*

### TESTE A2A

- Enviar *ReportQueryRequest* (admi.005):** o utilizador A2A deverá ter o role *ECMS ENTITY - A2A REPORTING (ADMI.005) EXECUTION*
- Validações OK:** ECMS envia *SecuritiesTransactionPostingReport* (semt.017)  
**Validações NOK:** ECMS envia *ReceiptAcknowledgement* (admi.007)



# EXTRATO DE TRANSAÇÕES

## RESUMO:

1

### Gerar extrato via ecrã

Módulo *Reference Data* > *Statements & Messages* > *Statement of Transactions* > *Generate Statement of Transactions*

**Roles:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80

2

### Consultar o extrato gerado (pedido via ecrã ou admi.005):

Módulo *Reference Data* > *Statements & Messages* > *Statement of Transactions* > *Monitor Notifications Generated*

Módulo *Reference Data* > *Reports* > *U2A Statement of Transactions*

**Roles:** ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 / READ ONLY - #81



QUESTÕES?

# CONTACTOS E INFORMAÇÃO DE REFERÊNCIA

Como chegar até nós e onde encontrar  
informação sobre o projeto



# CONTACTOS E INFORMAÇÃO DE REFERÊNCIA



## **Equipa de operações de política monetária**

+351 213 140 312

+351 213 537 279

+351 213 140 706



[ecms-pt@bportugal.pt](mailto:ecms-pt@bportugal.pt)



<https://www.ecb.europa.eu/paym/target/ecms/profuse/html/index.en.html>

Menu: Home > Payments & Markets > TARGET services > ECMS > For professional use



Sessão de 8 de maio de 2024

Sessão de 6 e 7 de julho de 2023

Sessão de 30 e 31 de maio de 2023

Sessão de 15 e 16 de dezembro de 2022

Sessão de 18 de novembro de 2021



OBIGADA