GLEIF services in the GLEIS

21 June 2017

Stephan Wolf, CEO GLEIF
GLEIF 2017 Conferência “Meet the Market – The uses of LEI”, Lisbon
GLEIF Services

GLEIF offers a wide range of services in Global LEI System including:

- Perform/Verify Accreditation
- Website in G20 languages
- Provide Business Report
- Provide Challenge Facility
- Provide Global LEI Index
- Define and Monitor Data Quality
- Develop technical standards
- Partner Projects with Standard Organizations
LEI Issuer Services

The partnering LEI Issuers offer a wide range of services in the Global LEI System including:

- Issue New LEI
- Enter Corporate Actions
- Manage Challenges
- Transfer of LEIs and LE-RD
- Renewal of LEIs
Who is who
1. Perform/Verify Accreditation

GLEIF manages a network of partners to provide trusted services and open, reliable data for unique legal entity identification worldwide.

- All current LEI issuers and new applicants are subject to the same GLEIF accreditation process.

- GLEIF conducts annual Accreditation Verification. This is a process of re-verification of the LOU internal controls within its LEI operations.
2. Provide Global LEI Index

- The Global LEI Index is the only **free online source** that provides open, standardized and high quality legal entity reference data
- LEI Overview page with statistics
  - Number of LEIs per Country, Issuer, Registration Status, Entity Status
  - Drill down function: Visuals can be clicked, which leads to the detailed LEI data
- Compare LEI Records
  - Specific LEI records selected from search results can be compared
- Export in Excel, CSV, XML and JSON format
2. Provide Global LEI Index
Access high quality data on legal entities worldwide

JACONE TRADE AND INVEST. LIMITED
Road Town, Virgin Islands, British

Validation against 600 selected global registration authorities

Growing international network of LEI issuers
3. Define and Monitor Data Quality
Now available per LEI issuer

National Settlement Depository (NSD) Russia | Data Quality Report | February 2017

Data Quality Scores

LEI Issuer Total Data Quality Score

The LEI Issuer Total Data Quality Score is calculated as the equal weighted average of the 7 'Data Quality Criteria'.

LEI Issuer Total Data Quality Score Trend
Progress achieved with regard to the continuous optimization of the data quality based on the LEI Issuer Total Data Quality Score.

Quality Maturity Level

The Data Quality criteria is expected to contain 12 dimensions. Currently, a subset of 7 criteria is implemented, to the detriment of the score, as they are averaged on all of them. This is expected to change, on a non-fixed timeline, to include all 12 dimensions.

Statistics

LEI Issuer Totals

- Managed LEIs: 863
- Active entities managed: 857
- Inactive entities managed: 6
- Covered countries: 9

Challenges

- Revised challenges: 1
- No change challenges: 9
- Duplicate detected: 9

Files

No. of days per month with CIIF-compliant file uploads: 28/29

DISCLAIMER: All figures of this LEI Data Quality Report are derived from the sources: 1) Global Legal Entity Identifier Foundation (GLEIF) Consolidated end of month files for all months mentioned in this report and 2) Data Quality Reports for all aforementioned consolidated files based on the LEI Data Quality Checklist Specification V.1.1. While every care has been taken in the compilation of this information, GLEIF will not be held responsible for any loss, damage or inconvenience caused as a result of inaccuracy or error within the LEI Data Quality Report. The text and graphic content of the LEI Data Quality Report may be used, printed and distributed ONLY with the copyright information displayed © Copyright Global Legal Entity Identifier Foundation (GLEIF).
4. Provide Information on Global LEI System
New Website, New Messaging
5. Provide Challenge Facility

- The centralized online facility is part of the GLEIF data quality management program.

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<th>Registration details</th>
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Who owns whom
Who Owns Whom (1)
Making Direct and Ultimate parent Information Available

Starting 1 May 2017, entities that have or acquire an LEI will report

- Their ‘ultimate accounting consolidating parent’: Defined as the highest level legal entity preparing consolidated financial statements.

- Their ‘direct accounting consolidating parent’: Defined as the lowest level legal entity that prepares consolidated financial statements that consolidate the entity.

In both cases, identification of the parent would be based on the accounting definition of consolidation applying to this parent:

- IFRS (International Financial Reporting Standards) and

- US GAAP (United States Generally Accepted Accounting Principles)
Who Owns Whom (2)
Making Direct and Ultimate parent Information Available

- Dedicated section on Level 2 Standards

- Direct links on new gleif.org start page
Who owns what
### 6. Partner Projects with Standard Organizations

<table>
<thead>
<tr>
<th>Summer 2017</th>
<th>Summer 2017</th>
<th>Winter 2017</th>
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<tbody>
<tr>
<td>Mapping Program</td>
<td>Taxonomy</td>
<td>Issuer</td>
</tr>
<tr>
<td>ISO BIC 9362</td>
<td>ISO ISIN 6166</td>
<td>Global Partner (proposed)</td>
</tr>
</tbody>
</table>

**Mapping Program**
- ISO BIC 9362

**Taxonomy**
- XBRL

**Issuer**
- ANNA

**Global Partner (proposed)**
- GS1
Thank you for your attention!

For more information, visit the GLEIF Website at www.gleif.org
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